

UNUSUAL STEREOSELECTIVITY IN THE SYNTHESIS OF 4-OXA- $\delta$ -VALEROTHIOACTONES:  
STRUCTURE PROOF OF  $\beta$ -HYDROXYTHIOAMIDE

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Summary: Stereoselectivity in the formation of 4-oxa- $\delta$ -valerethiolactone  $\underline{2}$  from  $\beta$ -hydroxythioamide  $\underline{1}$  is discussed. The structure of  $\underline{1}$  is deduced from the structure of  $\underline{2}$ .

The  $^1\text{H}$  and  $^{13}\text{C}$  nuclear magnetic resonances have been used most commonly for the structure assignment of acyclic  $\beta$ -hydroxycarbonyl compounds, utilizing the following correlations. (i) When the  $\alpha$ -substituent is sterically small (e.g., Me, Et, Ph), the erythro isomer shows the smaller vicinal coupling constant ( $J_{\text{C}_\alpha\text{H}\text{C}_\beta\text{H}}$ ) in the  $^1\text{H}$  NMR spectra<sup>1</sup> and the higher field resonances of  $\text{C}_\alpha$ -methyne and  $\text{C}_\beta$ -carbinol carbons in the  $^{13}\text{C}$  NMR spectra<sup>2</sup> compared with those of the corresponding threo isomer. (ii) When the  $\alpha$ -substituent is sterically large (e.g., *i*-Pr,<sup>3</sup> *t*-Bu)<sup>2,4</sup> the inverse correlation holds.

Both in  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra the correlation (i) holds well for the  $\beta$ -hydroxythioamide  $\underline{1}$  with any combination of substituent  $\text{R}^1 = \text{Me, Et, and Ph}$  and  $\text{R}^2 = \text{Me, Et, Ph, and } i\text{-Pr}$ .<sup>5</sup> However, when  $\text{R}^1$  is isopropyl, the pairs of diastereomers  $\underline{1}$  show irregular and very close vicinal coupling constants (cf. Table II) and also irregular chemical shifts of resonances of  $\text{C}_\alpha$  methyne and  $\text{C}_\beta$ -carbinol carbons in their  $^{13}\text{C}$  NMR spectra (e.g., figures are given in the order of  $\text{C}_\alpha$  and  $\text{C}_\beta$  in ppm: 59.2, 65.6 (threo  $\underline{1f}$ ); 59.4, 69.7 (erythro  $\underline{1f}$ ); 58.9, 69.8 (threo  $\underline{1h}$ ); 58.7, 73.1 (erythro  $\underline{1h}$ ).<sup>5</sup>

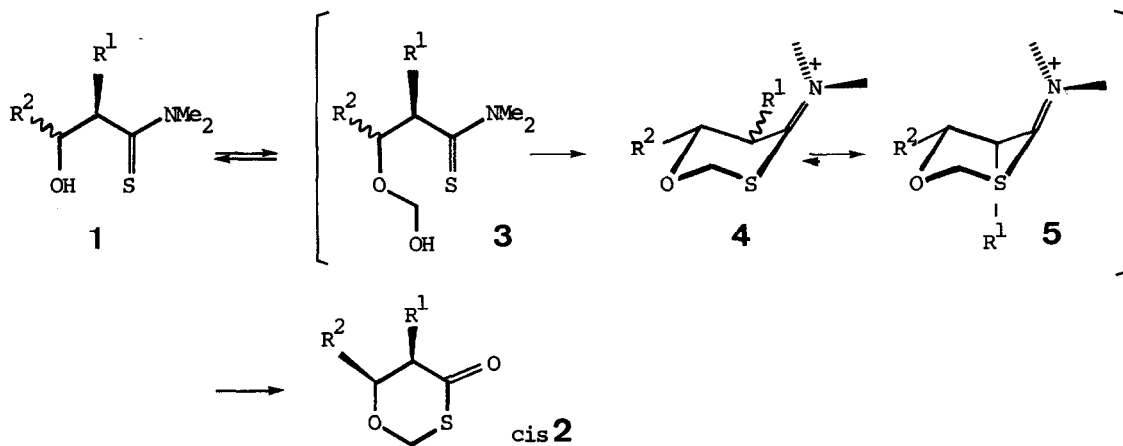
In this communication, we describe a cyclization reaction of  $\underline{1}$  to 4-oxa- $\delta$ -valerethiolactone  $\underline{2}$  and the structure determination of  $\underline{1}$  based on the structure of  $\underline{2}$ .

Each of the eight pairs of diastereomers  $\underline{1}$  (1 mmol)<sup>6</sup> was treated with formalin (37%, 5-10 mmol) and *p*-toluenesulfonic acid (1.3-1.5 mmol) in 3 mL of 1,2-dimethoxyethane under reflux for 1-7 h. The reaction was mostly completed within 2 h. The isolated yields of  $\underline{2}$  are summarized in Table I. The products were thoroughly investigated by means of  $^1\text{H}$ ,  $^{13}\text{C}$  NMR and VPC, and no isomerization of  $\underline{2}$  was observed under the reaction conditions. In every case, except for

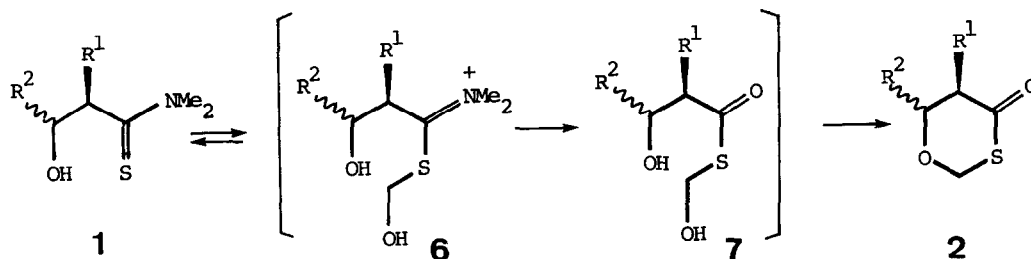
threo  $\underline{1b}$ ,  $\underline{2}$  was obtained as a single isomer.

A general trend emerges from Table I, which may be classified into three groups. (i) On the cyclization of  $\underline{1}$  ( $R^1 = \text{PhCH}_2$  and  $i\text{-Pr}$ ), cis  $\underline{2}$  and trans  $\underline{2}$  are formed specifically from erythro  $\underline{1}$  and threo  $\underline{1}$ , respectively (entries 7-16). The yield of  $\underline{2}$  is moderate (44-63% isolated). When  $R^1$  is phenyl, each of the diastereomers of  $\underline{1}$  shows quite different reaction features. (ii) The cyclization of erythro  $\underline{1}$  provides cis  $\underline{2}$  in almost quantitative yield (entries 1, 3, and 5), while (iii) threo  $\underline{1}$  is converted to  $\underline{2}$  in low yield, the rest of the product mainly consisting of  $\alpha,\beta$ -unsaturated thioamide formed by the dehydration of  $\underline{1}$ . Especially rewarding here is that the cyclization of threo  $\underline{1}$  has caused a complete inversion of the  $C_\alpha$  stereochemistry and exclusively provided the thermodynamically less stable cis  $\underline{2a}$ .<sup>7</sup>

Scheme I. Hydroxymethylation of Hydroxyl Group



Scheme II. Hydroxymethylation of Thioamide Group



This anomaly together with the behaviors summarized as groups i and ii may be rationalized according to mechanisms shown in Schemes I and II. The cyclization may proceed in two ways:

Table I. Synthesis of 4-Oxa- $\delta$ -valerolactone 2 from  $\beta$ -Hydroxythioamide 1

entry	$\beta$ -hydroxythioamide <u>1</u>		stereochemistry of <u>1</u>	product <u>2</u> (isolated yield)	
	R <sup>1</sup>	R <sup>2</sup>		cis	trans
1	Ph	Me	erythro <u>1a</u>	95	0
2	Ph	Me	threo <u>1a</u>	18	0 <sup>a</sup>
3	Ph	Et	erythro <u>1b</u>	95	0
4	Ph	Et	threo <u>1b</u>	4	16 <sup>b</sup>
5	Ph	i-Pr	erythro <u>1c</u>	80	0
6	Ph	i-Pr	threo <u>1c</u>	0	39 <sup>c</sup>
7	PhCH <sub>2</sub>	Me	erythro <u>1d</u>	60	0
8	PhCH <sub>2</sub>	Me	threo <u>1d</u>	0	60
9	PhCH <sub>2</sub>	i-Pr	erythro <u>1e</u>	58	0
10	PhCH <sub>2</sub>	i-Pr	threo <u>1e</u>	0	55
11	i-Pr	Me	erythro <u>1f</u>	47	0
12	i-Pr	Me	threo <u>1f</u>	0	50
13	i-Pr	Et	erythro <u>1g</u>	55	0
14	i-Pr	Et	threo <u>1g</u>	0	44
15	i-Pr	PhCH <sub>2</sub> CH <sub>2</sub>	erythro <u>1h</u>	63	0
16	i-Pr	PhCH <sub>2</sub> CH <sub>2</sub>	threo <u>1h</u>	0	53

- a) In addition to 2, N,N-dimethylcrotonothioamide was isolated in 50% yield.  
 b) In addition to 2, N,N-dimethyl-2-pentenothioamide was isolated in 47% yield.  
 c) In addition to 2, N,N-dimethyl-4-methyl-2-pentenothioamide was isolated in 7% yield.

Table II. Vicinal Coupling Constant<sup>a</sup> of  $\beta$ -Hydroxythioamide 1 and 4-Oxa- $\delta$ -valerolactone 2

R <sup>1</sup>	R <sup>2</sup>	<u>1</u>		<u>2</u>	
		erythro	threo	cis	trans
Ph	Me	2.0	8.0	3.0	10.0
Ph	Et	2.5	9.0	3.0	10.0
Ph	i-Pr	b	9.5	2.9	10.3
PhCH <sub>2</sub>	Me	1.2	b	4.0	8.0
PhCH <sub>2</sub>	i-Pr	1	7.5	1	7.2
i-Pr	Me	4.0	3.2	4.2	6.0
i-Pr	Et	2.5	3.5	3.6	5.5
i-Pr	PhCH <sub>2</sub> CH <sub>2</sub>	3.5	3.0	3.6	5.9

- a) in Hz, measured in CDCl<sub>3</sub>.  
 b) could not be assigned.

Hydroxymethylation of the hydroxyl group of  $\underline{1}$ , followed by cyclization and hydrolysis (Scheme I) and hydroxymethylation of thioamide group of  $\underline{1}$ , followed by hydrolysis and cyclization (Scheme II). It was shown that, by treatment with formalin and p-toluenesulfonic acid in refluxing DME, dihydrocinnamothioamide was hydrolyzed ca. 10 times faster than phenylacetothioamide, providing the corresponding amides as the main products. And hence, of the two competing processes,  $\underline{1}$  ( $R^1 = i\text{-Pr}$ ,  $\text{PhCH}_2$ ) might favorably follow the course of Scheme II and  $\underline{1}$  ( $R^1 = \text{Ph}$ ) the course of Scheme I. The moderate yields (entries 7-16) may be due to hydrolysis of  $\underline{6}$  to amides.<sup>8</sup>

The erythro isomer  $\underline{1}$  ( $R^1 = \text{Ph}$ ) might undergo the cyclization according to Scheme I ( $\underline{4} = \underline{5}$ ) and provides cis  $\underline{2}$  in high yield, but in the case of the threo isomer  $\underline{1}$  ( $R^1 = \text{Ph}$ ), owing to a severe A(1,3) strain<sup>9</sup> in  $\underline{4}$  between N-Me and an equatorial phenyl group, the course of the reaction may be subtly balanced by the steric bulk of the  $R^2$  substituents. When  $R^2$  is methyl, the reaction may proceed via  $\underline{4}$  and its complete epimerization to  $\underline{5}$ , and provides cis  $\underline{2a}$  after hydrolysis of  $\underline{5}$ . When  $R^2$  is isopropyl, the steric congestion in  $\underline{4}$  is such that the reaction may proceed according to Scheme II. The cyclization of  $\underline{1}$  ( $R^2 = \text{Et}$ ) is an intermediate case of these two and may provide a mixture of cis and trans  $\underline{2}$ .

The utility of the current cyclization process for the structure determination of  $\underline{1}$  becomes apparent by an examination of the vicinal coupling constant of  $\underline{2}$  shown in Table II, which indicates that the correlation of  $J_{\text{cis}} < J_{\text{trans}}$  holds irrespectively of the steric bulk of the substituents  $R^1$  and  $R^2$ .<sup>10</sup>

#### REFERENCES AND NOTES

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- 5) Detailed  $^1\text{H}$  and  $^{13}\text{C}$  NMR study will be reported in a full account.
- 6) (a) preceding communication. (b) Y. Tamaru, T. Harada, S. Nishi, M. Mizutani, T. Hioki, and Z. Yoshida, *J. Am. Chem. Soc.*, **102**, 7806 (1980).
- 7) Isomerization of cis  $\underline{2a}$  (0.13 equiv of DBU in refluxing THF) gave a mixture of cis  $\underline{2a}$  and trans  $\underline{2a}$  in a ratio of 1:4-5.
- 8) For the erythro  $\underline{1}$  ( $R^1 = i\text{-Pr}$ ,  $\text{PhCH}_2$ ), the process  $\underline{6} \rightarrow \underline{4}$  is also likely.
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- 10) The substituents of cis  $\underline{2}$  showed the higher field resonances compared with those of trans  $\underline{2}$  in  $^{13}\text{C}$  NMR spectra.

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